

Inherited IRA Transfer of Assets / Direct Rollover Form



LORD ABBETT®

Mail completed form to: Lord Abbett Funds Service Center, P.O. Box 534489, Pittsburgh, PA 15253-4489

Overnight mail: Lord Abbett Funds Service Center, Attention: 534489, 500 Ross Street, 154-0520, Pittsburgh, PA 15262

Fax: 844-761-0139 (Advisors are permitted to fax in paperwork provided the Medallion Signature Guarantee is legible. Shareholders are permitted to fax in paperwork provided a Medallion Signature Guarantee is not required.)

Call Lord Abbett for assistance: 888-522-2388 (Monday – Friday between 8:00 a.m. and 5:30 p.m. ET)

Use this form to request an inherited IRA trustee-to-trustee transfer of assets or a direct rollover from an existing retirement plan account you hold as the beneficiary of a deceased participant to your Inherited IRA at Lord Abbett. Based on your instructions, BNY Mellon Investment Servicing Trust Company will initiate the transfer or rollover for you. Incomplete information will result in delays in processing your request. If you need assistance completing this form, please contact Shareholder Services at 888-522-2388.

A trustee-to-trustee transfer is a non-reportable transaction which occurs between like accounts – Inherited Traditional IRA to Inherited Traditional IRA, or Inherited Roth IRA to Inherited Roth IRA. Only assets inherited by the same beneficiary from the same deceased owner may be put in the account. Note Inherited SEP IRAs (and Inherited SIMPLE IRAs, after the required two-year holding period) can be transferred into a traditional Inherited IRA. Any assets in an Inherited IRA can only be moved via a trustee-to-trustee transfer.

Do not use this form if you are a spouse beneficiary who wishes to move their inherited assets into an IRA in your own name.

DIRECT ROLLOVER NOTICE

If this is a direct rollover of assets from a qualified plan, 403(b), or 457 plan, of which you are the beneficiary of a deceased participant, you affirm by indicating on Step 3 of this form that the assets are eligible for a direct rollover to an inherited IRA and that this is an irrevocable election. The assets will no longer be eligible for special tax treatment which may be accorded to distributions from a qualified plan, 403(b), or 457 plan.

You should contact the current plan administrator or custodian prior to completing this form to ensure that you have received and completed any in-house forms that they may require. Direct rollovers from a qualified plan to an IRA can only be in the form of cash (transfer-in-kind is not an option).

STEP 1: INHERITED IRA OWNER INFORMATION

Name (First Name, MI, Last Name)

Daytime Phone Number

Social Security Number

Street Address

City

State

Zip Code

STEP 2: ORIGINAL IRA OWNER'S INFORMATION

Original IRA Owner's Name (First Name, MI, Last Name)

Original IRA Owner's Date of Birth

Original IRA Owner's Date of Death

If you are a successor / subsequent beneficiary, (i.e., a beneficiary of an inherited IRA established by a now deceased beneficiary of the deceased original owner) please also provide the deceased beneficiary's details.

Original IRA Beneficiary's Name (First Name, MI, Last Name)

Original IRA Beneficiary's Date of Birth

Original IRA Beneficiary's Date of Death

STEP 3: INVESTMENT INSTRUCTIONS (Complete items A, B, C, and D)

A. I am opening a new inherited IRA and have attached the required inherited Lord Abbett IRA application.

Deposit the proceeds into my existing inherited IRA. Account Number: _____

B. Type of account transferring into: Traditional Inherited IRA (including SEP) Roth Inherited IRA

Continued on next page

STEP 3: INVESTMENT INSTRUCTIONS (Complete items A, B, C, and D) Continued

C. Invest as follows:

Fund Name	Dollar Amount or Share Percentage (whole % only)
1. _____	_____
2. _____	_____
3. _____	_____

Must equal 100%

D. Type of request:

- IRA Transfer of Assets (TOA)
 Direct Rollover* of Inherited Qualified Plan assets to an Inherited IRA
 Direct Rollover* of inherited 403(b) or 457 assets to an Inherited IRA

* Please contact your current plan administrator for distribution/rollover requirements, your plan may require in-house forms or other action. For all types of accounts, if possible, please attach a copy of your most recent account statement from your current custodian if possible.

STEP 4: CURRENT CUSTODIAN AND ACCOUNT INFORMATION

Name of Current Custodian _____	Contact Name _____	Phone Number _____
Street Address _____	City _____	State _____ Zip Code _____

Note: You may wish to contact the current custodian to confirm if a Medallion Signature Guarantee is required to process your transfer request. Please see Step 6: Medallion Signature Guarantee for an explanation of the Medallion Signature Guarantee.

CURRENT CUSTODIAN AND ACCOUNT INFORMATION

Type of account you are transferring from (check one):

- Inherited Traditional IRA
 Inherited Roth IRA
 SEP Inherited IRA
 SIMPLE Inherited IRA
 Qualified Plan
 Qualified Plan Designated Roth
 403(b) or 457
 403(b) or 457 Designated Roth

Account number: _____

Check one: Liquidate or Transfer In-Kind (only applies to Lord Abbett assets held in an IRA)

Check one: Full account value or Partial amount – **specific amount from the investments listed below (attach additional pages if needed)**

Fund Name/TICKER/CUSIP : _____ Partial Dollar Amount \$ _____ or # of Shares _____

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For Certificates of Deposit: Immediately* At Maturity Date _____

***Note:** If you wish to have certificates of deposit transferred immediately and they have not matured, you may incur a redemption penalty. We cannot accept requests to transfer assets from certificates of deposit more than 60 days before their maturity.

If the inherited IRA has established required minimum distribution (“RMD”) payments, please provide the prior year account value.

Prior year end account value \$ _____

Continued on next page

STEP 5: PARTICIPANT AUTHORIZATION

I authorize the transfer of assets or direct rollover as noted above to my Lord Abbett IRA and authorize my current custodian, Lord Abbett and BNY Mellon Investment Servicing Trust Company to process this request on my behalf. I understand it is my responsibility to insure the prompt transfer of assets or direct rollover by the current custodian. I have read and understand all information on this form and hereby provide the applicable authorization.

Participant's Signature

Date

STEP 6: MEDALLION SIGNATURE GUARANTEE (if required)

A Medallion Signature Guarantee is generally required if:
The Lord Abbett Funds and their transfer agent accept Medallion Signature Guarantees executed by an eligible issuer participating in the Securities Transfer Agents Medallion Program 2000 (STAMP2000). Eligible issuers include U.S. domestic banks, credit unions, savings associations (including savings and loan associations), trust companies, national securities exchanges, registered securities associations, and clearing agencies. Also acceptable are broker/dealers, municipal securities broker/dealers, and government securities broker/dealers whose net capital exceeds \$100,000. For your protection, a Medallion Signature Guarantee is required for certain requests. Notarized signatures or signature guarantees from financial institutions that are not participating in one of these programs will not be accepted.

Please place Medallion Signature Guarantee here.